

# CERTIFIED ISO 27001 LEAD AUDITOR



MASTERING THE AUDIT OF AN INFORMATION SECURITY MANAGEMENT SYSTEM (ISMS) BASED ON ISO 27001, IN COMPLIANCE WITH THE REQUIREMENTS OF ISO 19011 AND ISO 17021

## SUMMARY

This five-day intensive course enables the participants to develop the expertise needed to audit an Information Security Management System (ISMS), and manage a team of auditors by applying widely recognized audit principles, procedures and techniques. During this training, the participants will acquire the knowledge and skills needed to proficiently plan and perform internal and external audits in compliance with certification process of the ISO/IEC 27001 standard. Based on practical exercises, the participants will develop the skills (mastering audit techniques) and competencies (managing audit teams and audit program, communicating with customers, conflict resolution, etc.) necessary to conduct an audit efficiently.

## COURSE AGENDA

DURATION: 5 DAYS

### DAY1

Introduction to Information Security Management System (ISMS) concepts as required by ISO 27001

- ▶ Normative, regulatory and legal framework related to Information Security
- ▶ Fundamental principles of Information Security
- ▶ The ISO 27001 certification process
- ▶ Detailed presentation of the clauses of ISO 27001

### DAY2

Planning and initiating an ISO 27001 audit

- ▶ Fundamental audit concepts and principles
- ▶ Audit the approach based on evidence and on risk
- ▶ Preparation of an ISO 27001 certification audit
- ▶ Documenting of an ISMS audit

### DAY3

Conducting an ISO 27001 audit

- ▶ Communication during the audit
- ▶ Audit procedures: observation, document review, interview, sampling techniques, technical verification, corroboration and evaluation
- ▶ Drafting test plans
- ▶ Formulation of audit findings, drafting of nonconformity reports

### DAY4

Concluding and ensuring the follow-up of an ISO 27001 audit

- ▶ Audit documentation
- ▶ Conducting a closing meeting and conclusion of an ISO 27001 audit
- ▶ Evaluation of corrective action plans
- ▶ ISO 27001 surveillance audit and audit management program

### DAY5 ANSI Accredited Certification Exam



## WHO SHOULD ATTEND?

- ▶ Internal auditors
- ▶ Auditors wanting to perform and lead an ISMS certification audits
- ▶ Members of an Information Security team
- ▶ Technical experts wanting to prepare for an Information Security audit function

## LEARNING OBJECTIVES

- ▶ To acquire expertise of performing an ISO 27001 internal audit, following the ISO 19011 guidelines
- ▶ To acquire expertise of performing an ISO 27001 certification audit, following the ISO 19011 guidelines and the specifications of ISO 17021 and ISO 27006
- ▶ To acquire necessary expertise for managing an ISMS audit team
- ▶ To understand the operation of an ISO 27001





## EXAMINATION

- ▶ The “Certified ISO/IEC 27001 Lead Auditor” exam fully meets the requirements of the PECB Examination and Certification Program (ECP). The exam covers the following competence domains:

### DOMAIN 1: FUNDAMENTAL PRINCIPLES AND CONCEPTS IN INFORMATION SECURITY

1

Main Objective: To ensure that the ISO 27001 Lead Auditor candidate can understand, interpret and illustrate the main Information Security concepts related to an Information Security Management System (ISMS)

### DOMAIN 2: INFORMATION SECURITY MANAGEMENT SYSTEM (ISMS)

2

Main Objective: To ensure that the ISO 27001 Lead Auditor candidate can understand, interpret and illustrate the main concepts and components of an Information Security Management System based on ISO 27001

### DOMAIN 3: FUNDAMENTAL AUDIT CONCEPTS AND PRINCIPLES

3

Main Objective: To ensure that the ISO 27001 Lead Auditor candidate can understand, interpret and apply the main concepts and principles related to an ISMS audit in the context of ISO 27001

### DOMAIN 4: PREPARATION OF AN ISO 27001 AUDIT

4

Main Objective: To ensure that the ISO 27001 Lead Auditor candidate can prepare appropriately an ISMS audit in the context of ISO 27001

### DOMAIN 5: CONDUCT OF AN ISO 27001 AUDIT

5

Main Objective: To ensure that the ISO 27001 Lead Auditor candidate can conduct efficiently an ISMS audit in the context of ISO 27001

### DOMAIN 6: CONCLUSION AND FOLLOW-UP OF AN ISO 27001 AUDIT

6

Main Objective: To ensure that the ISO 27001 Lead Auditor candidate can conclude an ISMS audit, and conduct the follow-up activities in the context of ISO 27001

### DOMAIN 7: MANAGEMENT OF AN ISO 27001 AUDIT PROGRAM

7

Main Objective: To ensure that the ISO 27001 Lead Auditor understands how to establish and manage an ISMS audit program

- ▶ The “Certified ISO/IEC 27001 Lead Auditor” exam is available in different languages, including English, French, Spanish and Portuguese
- ▶ Duration: 3 hours
- ▶ For more information about the exam, please visit: [www.rcertsea.com](http://www.rcertsea.com)



## CERTIFICATION

- ▶ After successfully completing the exam, participants can apply for the credentials of Certified ISO/IEC 27001 Provisional Auditor, Certified ISO/IEC 27001 Auditor or Certified ISO/IEC 27001 Lead Auditor depending on their level of experience. Those credentials are available for internal and external auditors
- ▶ A certificate will be issued to participants who successfully pass the exam and comply with all the other requirements related to the selected credential:

Credential	Exam	Professional Experience	ISMS Audit Experience	ISMS Project Experience	Other Requirements
ISO 27001 Provisional Auditor	ISO 27001 Lead Auditor Exam	None	None	None	Signing the PECB code of ethics
ISO 27001 Auditor	ISO 27001 Lead Auditor Exam	Two years One year of Information Security work experience	Audit activities totaling 200 hours	None	Signing the PECB code of ethics
ISO 27001 Lead Auditor	ISO 27001 Lead Auditor Exam	Five years Two years of Information Security work experience	Audit activities totaling 300 hours	None	Signing the PECB code of ethics

## GENERAL INFORMATION

- ▶ Certification fees are included in the exam price
- ▶ Participant manual contains over 450 pages of information and practical examples
- ▶ A participation certificate of 31 CPD (Continuing Professional Development) credits will be issued to the participants
- ▶ In case of failure of the exam, participants are allowed to retake it for free under certain conditions



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